

FORM PTO-1390
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

TIP-05-1799

U.S. APPLICATION NO. (If known, use PCT 371.5)

10/562405

INTERNATIONAL APPLICATION NO.
PCT/JP2004/008746INTERNATIONAL FILING DATE
22 June 2004 (22.06.04)PRIORITY DATE CLAIMED
27 June 2003 (27.06.03)

TITLE OF INVENTION

PROCESS FOR PRODUCING NITROGENOUS HETEROCYCLIC COMPOUND

APPLICANT(S) FOR DO/EO/US

Seiji Morii, Takae Ono and Haruyo Sato

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 C.F.R. 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

10/562405

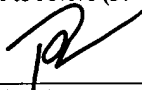
IAP9 Rec'd PCT/PTO 23 DEC 2009

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP2004/008746		ATTORNEY'S DOCKET NUMBER TIP-05-1799	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee.....				\$ 300.00	\$ 300.00
<input checked="" type="checkbox"/> b) Examination fee.....				\$ 200.00	\$ 200.00
<input checked="" type="checkbox"/> c) Search fee.....				\$ 400.00	\$ 400.00
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	\$ 900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		Rate	
- 100 =	/50 =			x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		18 - 20 =	0	x \$ 50.00	\$
Independent claims		1 - 3 =	0	x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$1,030.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				\$1,030.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$1,030.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$1,030.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

- a. ☒ A check in the amount of \$1,030.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. 50-2719 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed.
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


T. Daniel Christenbury, Reg. No. 31,750

Customer No. 035811, whose contact information is:
IP Group of DLA Piper Rudnick Gray Cary US LLP
One Liberty Place, Suite 4900
1650 Market Street
Philadelphia, PA 19103
(215) 656-3381

10/562405

IAP9 Rec'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Customer No.: 035811
Examiner :
Serial No. :
Filed : Herewith
PCT No. : PCT/JP2004/008746
PCT Filed : June 22, 2004
Inventors : Seiji Morii
: Takae Ono
: Haruyo Sato
Title : PROCESS FOR PRODUCING
: NITROGENOUS HETEROCYCLIC
: COMPOUND

Docket No.: TIP-05-1799

Confirmation No.:

Dated: December 23, 2005

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**37 CFR 1.10
EXPRESS MAIL CERTIFICATION**

“Express Mail” mailing label number: EV 591422248 US

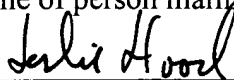
Date of Deposit: December 23, 2005

DESCRIPTION OF CONTENTS: Postcard, \$1,030.00 Check, an Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, an Application Data Sheet (1 page), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 25 pages of Specification, 7 pages of Claims and 1 page Abstract, copies of Request (4 pages), the Fee Calculation Sheet, Forms PCT/IB/301, 304 and 308, Form PCT/ISA/210, the first page of WO 2005/000810 A1 and an International Search Report, an Information Disclosure Statement and Form PTO-1449 with copies of the publications.

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to **Mail Stop PCT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

10/562405

IAP9 Rec'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	:		Customer No.: 035811
Examiner	:		
Serial No.	:		
Filed	:	Herewith	
PCT No.	:	PCT/JP2004/008746	
PCT Filed	:	June 22, 2004	
Inventors	:	Seiji Morii	Docket No.: TIP-05-1799
	:	Takae Ono	
	:	Haruyo Sato	Confirmation No.:
Title	:	PROCESS FOR PRODUCING	
	:	NITROGENOUS HETEROCYCLIC	
	:	COMPOUND	

Dated: December 23, 2005

APPLICATION TRANSMITTAL LETTER

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We submit herewith for filing in the U.S. Patent and Trademark Office Form PTO-1390, in duplicate, an Application Data Sheet (1 page), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 25 pages of Specification, 7 pages of Claims and 1 page Abstract, copies of the Request (4 pages), the Fee Calculation Sheet, Forms PCT/IB/301, 304 and 308, Form PCT/ISA/210, the first page of WO 2005/000810 A1 and an International Search Report, an Information Disclosure Statement, Form PTO-1449 with copies of the publications, and our check in the amount of \$1,030.00, comprising the official filing fee.

Respectfully submitted,



T. Daniel Christenbury
Reg. No. 31,750
Attorney for Applicants

TDC:lh
(215) 656-3381